

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Francisco et al.

Application No.: 09/724,406

Filed: November 28, 2000

For: RECOMBINANT ANTI-CD30 ANTIBODIES AND
USES THEREOF



Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No.: 9632-006-999

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting Division

Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$4,074.00 has been timely paid from Pennie & Edmonds LLP Deposit Account No. 16-1150, of which \$2,037.00 is in excess of the amount now required. Submitted concurrently herewith is a Transmittal of Declaration, Power of Attorney, Verified Statement and Request to Establish Small Entity Status. Pursuant to 37 C.F.R. § 1.28(a), Applicants request a refund of the excess amount within three months of its timely payment.

Accordingly, please refund the excess by crediting \$2,037.00 to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: January 26, 2001

Adriane M. Antler 32.605
Adriane M. Antler (Reg. No.)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



Express Mail No.: EL 501 636 619 US

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UNITED STATES PATENT AND TRADEMARK OFFICE

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**TRANSMITTAL OF DECLARATION, POWER OF ATTORNEY,
VERIFIED STATEMENT AND
REQUEST TO ESTABLISH SMALL ENTITY STATUS**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicants submit herewith for the above-mentioned application an original Declaration for Non-Provisional Patent Application executed by the inventors, Joseph A. Francisco, on January 10, 2001, Grant Risdon, on January 9, 2001, Alan F. Wahl, on January 10, 2001 and Clay Siegall, on January 10, 2001.

The Applicants have assigned their entire right, title and interest in the instant application to Seattle Genetics, Inc. Seattle Genetics, Inc. qualifies as a Small Entity under 37 C.F.R. § 1.27(a)(2). Enclosed herewith are: (i) a Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. § 3.71 executed by H. Perry Fell, Jr., Chief Executive Officer of Seattle Genetics, Inc., assignee of the above-identified application; and (ii) a Verified Statement Claiming Small Entity Status for Seattle Genetics, Inc. executed by H. Perry Fell, Jr.

It is respectfully requested that the application be accorded Small Entity Status in accordance with 37 C.F.R. § 1.27(a)(2). The surcharge for filing the enclosed Declaration and Power of Attorney is estimated to be \$65.00 for a small entity. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: January 26, 2001

Adriane M. Antler 32.605
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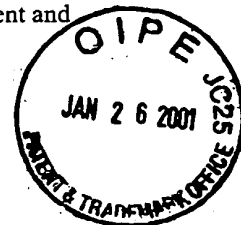
Serial No.: 09/724,406 Filed: November 28, 2000

Inventor: Franciso et al.

FOR: RECOMBINANT ANTI-CD30 ANTIBODIES AND USES THEREOF

The stamp of the Patent Office hereon may be taken as an acknowledgment of the date stamped of the following:

- (X) Transmittal of Declaration, Power of Attorney, Verified Statement and Request to Establish Small Entity Status *(in duplicate)*;
- (X) Request for Refund *(in duplicate)*;
- (X) Declaration For Non Provisional Patent Application;
- (X) Power of Attorney by Assignee and Exclusion of Inventor(s);
- (X) Verified Statement Claiming Small Entity Status; and
- (X) Copy of Assignment.



File No. 9632-006-999 Sender: AMA/MSA